

Export Compliance

Use this checklist to evaluate projects with suspected export control risks. The use of this tool is particularly encouraged for PI portfolios where export control risks are already present. This list is not comprehensive -- any concern you have about export control issues can be referred to exportcontrol@tufts.edu for further expert review.

Science		Science	
Basic	<input type="checkbox"/>	Applied and any of the below red flags are present	<input type="checkbox"/>
Sponsor		Sponsor	
Most Federal sponsors	<input type="checkbox"/>	DoD, DARPA, NASA, DOE	<input type="checkbox"/>
US State and local government sponsors	<input type="checkbox"/>	Foreign sponsor (Government, Private or University)	<input type="checkbox"/>
		Private sponsors, especially start-ups or individuals providing funds	<input type="checkbox"/>
Area of Work / Discipline		Area of Work / Discipline	
Most areas of work and disciplines	<input type="checkbox"/>	Engineering/Computer Science	<input type="checkbox"/>
Educational research	<input type="checkbox"/>	Space sciences	<input type="checkbox"/>
No indication that that export-controlled information or technology will be furnished for use in the research	<input type="checkbox"/>	Research with/on lasers or sensors	<input type="checkbox"/>
		Research with controlled chemicals, biological agents, and toxins	<input type="checkbox"/>
		Research with encrypted software	<input type="checkbox"/>
		Indications from the sponsor or others that export-controlled information or technology will be furnished for use in the research	<input type="checkbox"/>
Location of Work		Location of Work	
Only United States	<input type="checkbox"/>	Foreign, especially embargoed and sanctioned countries (e.g. Cuba, Iran, N. Korea, Syria, China, Russia)	<input type="checkbox"/>
Physical export of controlled goods or technology is not expected	<input type="checkbox"/>	Physical export of controlled goods or technology is expected	<input type="checkbox"/>
Project Restrictions		Project Restrictions	
No foreign national restriction from the sponsor on participation	<input type="checkbox"/>	Sponsor restricts participation of foreign nationals in the project	<input type="checkbox"/>
Sponsor has no restrictions on publication/disclosure of results	<input type="checkbox"/>	Sponsor has restrictions on publication/disclosure of results	<input type="checkbox"/>
Application		Application	
Research purposes only	<input type="checkbox"/>	Commercial/proprietary application	<input type="checkbox"/>
		Inherently military in nature	<input type="checkbox"/>
		"Dual use" - commercial in nature with possible military application	<input type="checkbox"/>